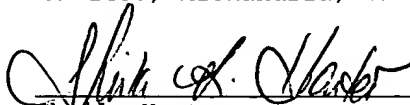




PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 2, 2005.

  
Sheila Harter

Appl No. : 09/784,419 Confirmation No. 5061  
Applicant : Jun Cao  
Filed : February 15, 2001  
Title : LINEAR FULL RATE PHASE DETECTOR AND CLOCK DATA  
RECOVERY CIRCUIT  
  
TC/A.U. : 2633  
Examiner : David C. Payne  
  
Docket No. : 50987/RJP/B600  
Customer No. : 23363

**RESPONSE TO NOTICE OF INFORMAL APPLICATION**  
**(Attachment to Supplemental Notice of Allowability)**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
  
Post Office Box 7068  
Pasadena, CA 91109-7068  
June 2, 2005

Commissioner:

Applicants respond as follows to the Notice of Informal Application (Attachment to Supplemental Notice of Allowability) dated March 2, 2005:

In response to Item A (10), Applicants originally filed an **executed** Declaration with their Response to Missing Parts of Application on April 26, 2001. Also, pursuant to a telephone conversation with the Examiner, Applicants again provided a copy of the **executed** Declaration via facsimile on January 28, 2005.

Appln No. 09/784,419


Amdt date June 2, 2005

Reply to Office action of March 2, 2005

A copy of Applicants' facsimile response (including the **executed** declaration) and USPTO Auto-Reply Facsimile Transmission confirming receipt are enclosed.

Respectfully submitted,

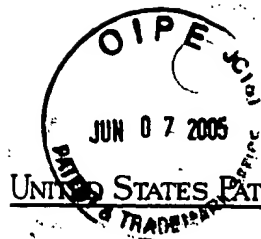
CHRISTIE, PARKER & HALE, LLP

By   
Colin Dorrian  
Reg. No. 54,658  
626/795-9900

CTD/srh

Enclosures

SH PAS626468.1--\*-06/2/05 11:34 AM



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/784,419	02/15/2001	Jun Cao	19717-001210US

20350

TOWNSEND AND TOWNSEND AND CREW  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 5061

## FORMALITIES LETTER



\*OC000000005914804\*

Date Mailed: 03/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

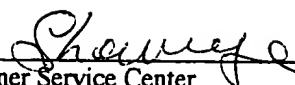
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## Auto-Reply Facsimile Transmission



TO: Fax Sender at 626 577 8800

Fax Information  
Date Received: 1/28/2005 6:25:54 PM [Eastern Standard Time]  
Total Pages: 7 (including cover page)

**ADVISORY:** This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received  
Cover  
Page  
=====>

01/28/2005 15:26 FAX 626 577 8800

CHRISTIE, PARKER & HALE

001/007

### facsimile TRANSMITTAL

Date: January 28, 2005  
No. of Pages: 7 (including this cover sheet)  
Fax No.: (703) 872-9306

**PLEASE DELIVER THE FOLLOWING PAGES IMMEDIATELY TO:**

Name: Commissioner of Patents  
Art Unit: 2633  
Examiner: David Payne  
Phone: (571) 272-3024  
From: Colin Dotrian  
Reg No. 54,658

Re: Application No. 09/784,419; Filed February 15, 2001  
Entitled LINEAR FULL-RATE PHASE DETECTOR AND CLOCK AND  
DATA RECOVERY CIRCUIT

File: 50987/CTD/B600

Responsive to your request, enclosed is a copy of Applicants' Response to Missing Parts filed April 26, 2001, which includes the executed Declaration. We have omitted the revised drawings. Please contact me if you have any other questions (626) 683-4558.

I HEREBY CERTIFY THAT THIS PAPER IS BEING FACSIMILE TRANSMITTED TO  
THE UNITED STATES PATENT AND TRADEMARK OFFICE ON January 28, 2005.

*Sheila Harter*  
Sheila Harter

\*Correspondence: Response to Missing Parts filed April 26, 2001

For Office Services Use Only  
Return Fax to Sheila Harter

Christie, Parker & Hale, LLP  
350 West Colorado Boulevard  
Post Office Box 7068  
Pasadena, CA 91109-7068  
626-755-9500  
Fax: 626-577-8800

#### confidential

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**facsimile**  
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626-795-9900  
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UNITED STATES PATENT AND TRADEMARK OFFICE

Mailing Date: April 26, 2001

File No.: 019717-001210US

Attorney JMZ/djb

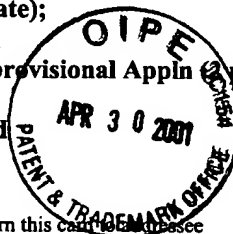
Serial No.: 09/784,419

Filed: February 15, 2001

Inventor(s): Jun Cao

Title: LINEAR FULL-RATE PHASE DETECTOR AND CLOCK AND DATA  
RECOVERY CIRCUIT

1. **Fee Transmittal (1 page submitted in duplicate);**
2. **Transmittal Form (1 page);**
3. **Copy of Notice to File Missing Parts of Nonprovisional Appln (2 pages);**
4. **Executed Declaration (1 page);**
5. **Corrected informal drawings (11 pages); and**
6. **Return Postcard**



Please stamp the date of receipt of the enclosed documents and return this card to addressee

PA 3141452 v1

MAY 09 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

Mailing Date: April 26, 2001

File No.: 019717-001210US

Attorney JMZ/djb

Serial No.: 09/784,419

Filed: February 15, 2001

Inventor(s): Jun Cao

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5. **Corrected informal drawings (11 pages); and**
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PA 3141452 v1

<b>OFFICE TRANSMITTAL</b> <b>for FY 2001</b> JUN 07 2005 Patent fees are subject to annual revision.		<b>Complete if Known</b>	
Application Number		09/784,419	
Filing Date		February 15, 2001	
First Named Inventor		Cao, Jun	
Examiner Name		Unassigned	
Group Art Unit		2816	
Attorney Docket No.		19717001210	
TOTAL AMOUNT OF PAYMENT (\$)		130	

<b>METHOD OF PAYMENT</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																																							
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit Account Number: 20-1430  Deposit Account Name: Townsend and Townsend and Crew LLP  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>3. ADDITIONAL FEES</b>																																																																																																																																																																																																							
2. <input type="checkbox"/> Payment Enclosed:  <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		<table border="1"><thead><tr><th>Large Fee Code</th><th>Entity Fee (\$)</th><th>Small Fee Code</th><th>Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>130</td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="6">Other fee (specify)</td></tr><tr><td colspan="6">The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.</td></tr><tr><td colspan="6">*Reduced by Basic Filing Fee Paid</td></tr><tr><td colspan="5">SUBTOTAL (3)</td><td>(\$130)</td></tr></tbody></table>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	130	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	390	216	195	Extension for reply within second month		117	890	217	445	Extension for reply within third month		118	1,390	218	695	Extension for reply within fourth month		128	1,890	228	945	Extension for reply within fifth month		119	310	219	155	Notice of Appeal		120	310	220	155	Filing a brief in support of an appeal		121	270	221	135	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,240	241	620	Petition to revive - unintentional		142	1,240	242	620	Utility issue fee (or reissue)		143	440	243	220	Design issue fee		144	600	244	300	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279	355	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application		Other fee (specify)						The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.						*Reduced by Basic Filing Fee Paid						SUBTOTAL (3)					(\$130)
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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>			
Name (Print/Type)	J. Matthew Zigmant	Registration No. (Attorney/Agent)	44,005	Telephone	650-326-2400
Signature	[Signature]		Date	April 26, 2001	

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/784,419
Filing Date	February 15, 2001
First Named Inventor	Cao, Jun
Group Art Unit	2816
Examiner Name	Unassigned
Attorney Docket Number	19717001210

Total Number of Pages in This Submission

**ENCLOSURES (check all that apply)**

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☒ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

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☒ Other Enclosure(s) (please identify below):

**copy of Notice to File Missing Parts of NonProvisional Application; Executed Declaration; Return postcard**

Remarks

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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm and Individual name

Townsend and Townsend and Crew LLP

J. Matthew Zigmant

Reg No. 44,005

Signature

Date

April 26, 2001

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